| SEC Form 4   |   |                 |  |  |                   |  |                   |   |  |   |  |  |
|--|---|-----------------|--|--|-------------------|--|-------------------|---|--|---|--|--|
| FO   | RM 4  | UNITE           | D STATES S                                 | ECURITIES<br>Washingt  |                   | OMB APPROVAL   |                   |   |  |   |  |  |
| Section 16. Fo   | k if no longer subject :<br>rm 4 or Form 5<br>ly continue. <i>See</i><br>). | to <b>STA</b> T | Filed pursuar                              |  | of the Securition | IEFICIAL OWN<br>es Exchange Act of 1934<br>apany Act of 1940 |                   | Est   | OMB Number: 3235-0287<br>Estimated average burden<br>hours per response: 0.5 |   |  |  |
| 1. Name and Address of Reporting Person <sup>*</sup><br><u>Iwicki Mark T</u> |   |                 |  | er Name <b>and</b> Ticker<br>Harmonic B  |                   |  |                   | tionship of Report<br>all applicable)<br>Director                         | Reporting Person(s) to Issuer<br>le)<br>10% Owne                             |   |  |  |
| (Last)   | (First)   | (Middle)        | 3. Date<br>06/06/                          | of Earliest Transac<br>2024  | ction (Month/E    | Officer (give tit below)                                     | e Other<br>below  | (specify<br>)   |  |   |  |  |
| C/O THIRD HARMONIC BIO, INC.<br>1700 MONTGOMERY STREET, SUITE 210            |   |                 |  | nendment, Date of (  | Original Filed    | (Month/Day/Year)   | 6. Indiv<br>Line) | - ,   |  |   |  |  |
| (Street)<br>SAN<br>FRANCISCO   | СА  | 94111           | Rule                                       | Rule 10b5-1(c) Transaction Indication  |                   |  |                   |   |  |   |  |  |
| (City)   | (State)   | (Zip)           | Ch<br>sat                                  | Check this box to indicate that a transaction was made pursuant to a contract, instruction or written plan that is intended to satisfy the affirmative defense conditions of Rule 10b5-1(c). See Instruction 10. |                   |  |                   |   |  |   |  |  |
|  |   | Table I - Nor   | n-Derivative S                             | ecurities Acqu   | uired, Disp       | oosed of, or Benef   | icially           | Owned   |  |   |  |  |
| Date   |   |                 | 2. Transaction<br>Date<br>(Month/Day/Year) | ay/Year) Execution Date,<br>if any<br>(Month/Day/Year) Transaction<br>Code (Instr. 3) Disposed Of (D) (Instr. 3, 4 ar<br>5)  |                   |  |                   | 5. Amount of<br>Securities<br>Beneficially<br>Owned Following<br>Reported | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4)            | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |  |  |
|  |   |                 | 1  | 1  |                   | (A) or   | <u> </u>          | Transaction(s)  | 1  | 1   |  |  |

|  |   |  |   |      | I 1 |  |                                 | · _ ·  |              |  |                 |   |  | Reported |  | · ·                                   | (Instr. 4) |
|--|---|--|---|------|-----|--|---------------------------------|--|--------------|--|-----------------|---|--|----------|--|---------------------------------------|------------|
|  |   |  |   |      |     |  |                                 | Code   | ode V Amount |  | (A) or<br>(D)   | Price   | Transact<br>(Instr. 3  | tion(s)  |  |                                       | inisti: 4) |
| Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned   (e.g., puts, calls, warrants, options, convertible securities) |   |  |   |      |     |  |                                 |  |              |  |                 |   |  |          |  |                                       |            |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)  | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Ye | Code |     | 5. Numl<br>of<br>Derivati<br>Securiti<br>Acquire<br>(A) or<br>Dispose<br>of (D) (I<br>3, 4 and | ive<br>ies<br>ed<br>ed<br>nstr. | 6. Date Exercisable and<br>Expiration Date<br>(Month/Day/Year) |              | and<br>7. Title and Amount<br>of Securities<br>Underlying<br>Derivative Security<br>(Instr. 3 and 4) |                 | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number of<br>derivative<br>Securities<br>Beneficially<br>Owned<br>Following<br>Reported<br>Transaction(s)<br>(Instr. 4) | s<br>Ily | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4) |            |
|  |   |  |   | Code | v   | (A)  |                                 | Date<br>Exercisabl   |              | cpiration<br>ate   | Title           | Amount<br>or<br>Number<br>of<br>Shares              | 4  |          |  |                                       |            |
| Director<br>Stock<br>Option<br>(right to<br>buy)   | \$13.85   | 06/06/2024                                 |   | А    |     | 20,000   |                                 | (1)  | 06           | 5/05/2034  | Common<br>Stock | 20,000  | \$0  | 20,000   | 0  | D                                     |            |

Explanation of Responses:

1. The option will vest in full on the earlier of (a) June 6, 2025 and (b) the next annual meeting of the Issuer's stockholders, subject to the Reporting Person's provision of service to the Issuer on the vesting date.

| <u>/s/ Christopher Murphy,</u><br>Attorney-in-Fact | 06/10/2024 |
|--|------------|
|  |            |

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.